

July 21, 2020

BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400001.

National Stock Exchange of India Ltd.  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai - 400051.

Dear Sir/Madam,

**Sub: Statement of holding of securities and shareholding pattern as on June 30, 2020**

**Ref: Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Statement of holding of securities and shareholding pattern of GMR Infrastructure Limited as on June 30, 2020 is enclosed.

Request you to please take the same on record.

Thanking you,

for **GMR Infrastructure Limited**



**T. Venkat Ramana**  
**Company Secretary &**  
**Compliance Officer**

Encl: As above

**Registered Office:**  
Plot No. 31, G Block  
7th Floor, Naman Centre  
Bandra Kurla Complex (Opp. Dena Bank)  
Bandra(East), Mumbai-400 051

### Holding of Specified securities

- |                                                                     |                                     |
|---------------------------------------------------------------------|-------------------------------------|
| 1. Name of Listed Entity                                            | :GMR Infrastructure Limited         |
| 2. Scrip Code/Name of Scrip/Class of Security                       | : 532754 / GMRINFRA / Equity Shares |
| 3. Share Holding Pattern Filed under                                | :Regulation 31(1)(b)                |
| a. if under 31(1)(b) then indicate the report for quarter ending    | : June 30, 2020                     |
| b. if under 31(1)(c) then indicate date of allotment/extinguishment | :NA                                 |

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?		NO
e Whether any shares held by promoters are pledge or otherwise encumbered?	YES	
f Whether the listed entity has any significant beneficial owner?		NO

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Share	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total	No.		As a % of total Shares held
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	20	3954469176	0	0	3954469176	65.52	3954469176	0	3954469176	65.52	0	54.78	0	0.00	2976806942	75.28	3954469176
(B)	Public	372714	2081476099	0	0	2081476099	34.48	2081476099	0	2081476099	34.48	1,18,32,30,214	45.22	0	0.00	NA	NA	2080764593
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
<b>Total:</b>		<b>372734</b>	<b>6035945275</b>	<b>0</b>	<b>0</b>	<b>6035945275</b>	<b>100.00</b>	<b>6035945275</b>	<b>0</b>	<b>6035945275</b>	<b>100.00</b>	<b>1183230214</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>2976806942</b>	<b>75.28</b>	<b>6035233769</b>

Note: 1) The Company issued and allotted USD 300,000,000 principal amount of 7.5 per cent foreign currency convertible bonds (FCCBs), convertible into fully paid up equity shares of the Company having face value of Re. 1 each (the "Conversion Shares") at a price of Rs. 18 per equity share to "Kuwait Investment Authority" on December 10, 2015. The Bonds may be converted into the shares in accordance with the terms of the Bonds after 18 months. The optional conversion price in respect of FCCB is Rs. 18 per share. After considering into the optional conversion price is as Rs. 18 per shares, FCCBs are converted into 1112341611 Equity shares (Approximately )

2) Total 2 OCDs aggregating to Rs. 1148395370 may be converted into equity shares as per respective pricing formula. The 2 OCDs may converted into 70888603 equity shares of Re. 1/- each (Approximately) as per respective pricing formula .

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total (IX)			No.	As a % of total Shares held	No.	As a % of total Shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total (IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	12	9660070	0	0	9660070	0.16	9660070	0	9660070	0.16	0	0.00	0	0.00	0	0.00	9660070
	MALLIKARJUNA RAO GRANDHI (HUF)	1	1478000	0	0	1478000	0.02	1478000	0	1478000	0.02	0	0.00	0	0.00	0	0.00	1478000
	GRANDHI BUTCHI SANYASI RAJU (HUF)	1	292500	0	0	292500	0.00	292500	0	292500	0.00	0	0.00	0	0.00	0	0.00	292500
	KIRANKUMAR GRANDHI (HUF)	1	200000	0	0	200000	0.00	200000	0	200000	0.00	0	0.00	0	0.00	0	0.00	200000
	SRINIVAS BOMMIDALA (HUF)	1	200000	0	0	200000	0.00	200000	0	200000	0.00	0	0.00	0	0.00	0	0.00	200000
	MALLIKARJUNA RAO GRANDHI	1	253330	0	0	253330	0.00	253330	0	253330	0.00	0	0.00	0	0.00	0	0.00	253330
	G VARALAKSHMI	1	942660	0	0	942660	0.02	942660	0	942660	0.02	0	0.01	0	0.00	0	0.00	942660
	SRINIVAS BOMMIDALA	1	251660	0	0	251660	0.00	251660	0	251660	0.00	0	0.00	0	0.00	0	0.00	251660
	KIRANKUMAR GRANDHI	1	672160	0	0	672160	0.01	672160	0	672160	0.01	0	0.01	0	0.00	0	0.00	672160
	GRANDHI RAGINI	1	2513700	0	0	2513700	0.04	2513700	0	2513700	0.04	0	0.03	0	0.00	0	0.00	2513700
	G B S RAJU	1	251660	0	0	251660	0.00	251660	0	251660	0.00	0	0.00	0	0.00	0	0.00	251660
	B RAMA DEVI	1	255900	0	0	255900	0.00	255900	0	255900	0.00	0	0.00	0	0.00	0	0.00	255900
	GRANDHI SMITHA RAJU	1	2348500	0	0	2348500	0.04	2348500	0	2348500	0.04	0	0.03	0	0.00	0	0.00	2348500
	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	8	3944809106	0	0	3944809106	65.36	3944809106	0	3944809106	65.36	0	54.64	0	0.00	0	0.00	3944809106
	GMR ENTERPRISES PRIVATE LIMITED	1	3101143150	0	0	3101143150	51.38	3101143150	0	3101143150	51.38	0	42.96	0	0.00	2534991967	81.74	3101143150
	VARALAKSHMI ENTERPRISES LLP	1	6704975	0	0	6704975	0.11	6704975	0	6704975	0.11	0	0.09	0	0.00	6704975	100.00	6704975
	GMR INFRA VENTURES LLP	1	31321815	0	0	31321815	0.52	31321815	0	31321815	0.52	0	0.43	0	0.00	0	0.00	31321815
	GMR BUSINESS AND CONSULTANCY LLP	1	805635166	0	0	805635166	13.35	805635166	0	805635166	13.35	0	11.16	0	0.00	435110000	54.01	805635166
	Cadence Retail Private Limited	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	GRANDHI BUCHI SANYASI RAJU AND SATYAVATHI SMITHA TRUST - Mr. G.B.S. Raju, Trustee	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	0	0.00	1000
	GRANDHI KIRAN KUMAR AND RAGINI TRUST - Mr. G. Kiran Kumar, Trustee	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	0	0.00	1000
	GRANDHI VARALAKSHMI MALLIKARJUNA RAO TRUST - Mr. G. Mallikarjuna Rao, Trustee	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	0	0.00	1000
	SRINIVAS BOMMIDALA AND RAMADEVI TRUST - Mr. Srinivas Bommidala, Trustee	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	0	0.00	1000
	<b>Sub-Total (A)(1)</b>	<b>20</b>	<b>3954469176</b>	<b>0</b>	<b>0</b>	<b>3954469176</b>	<b>65.52</b>	<b>3954469176</b>	<b>0</b>	<b>3954469176</b>	<b>65.52</b>	<b>0</b>	<b>54.78</b>	<b>0</b>	<b>0.00</b>	<b>2976806942</b>	<b>75.28</b>	<b>3954469176</b>
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals)/Foreign Individuals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>20</b>	<b>3954469176</b>	<b>0</b>	<b>0</b>	<b>3954469176</b>	<b>65.52</b>	<b>3954469176</b>	<b>0</b>	<b>3954469176</b>	<b>65.52</b>	<b>0</b>	<b>54.78</b>	<b>0</b>	<b>0.00</b>	<b>2976806942</b>	<b>75.28</b>	<b>3954469176</b>

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible Securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares		
								Class X	Class Y	Total (IX)			(X)	(XI)	(XII)	(XIII)		(XIV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Institutions																	
(a)	Mutual Funds	11	38758815	0	0	38758815	0.64	38758815	0	38758815	0.64	0	0.54	0	0.00	NA	NA	38758815
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investor	90	1252017188	0	0	1252017188	20.74	1252017188	0	1252017188	20.74	0	17.34	0	0.00	NA	NA	1252017188
	LETKO BROUSSEAU EMERGING MARKETS EQUITY FUND	1	77500000	0	0	77500000	1.28	77500000	0	77500000	1.28	0	1.07	0	0.00	NA	NA	77500000
	DVI FUND MAURITIUS LTD	1	537191459	0	0	537191459	8.90	537191459	0	537191459	8.90	0	7.44	0	0.00	NA	NA	537191459
	ASN INVESTMENTS LIMITED	1	439069922	0	0	439069922	7.27	439069922	0	439069922	7.27	0	6.08	0	0.00	NA	NA	439069922
(f)	Financial Institutions/Banks	12	196114361	0	0	196114361	3.25	196114361	0	196114361	3.25	0	2.72	0	0.00	NA	NA	196114361
	LIC OF INDIA HEALTH PLUS FUND	1	103673136	0	0	103673136	1.72	103673136	0	103673136	1.72	0	1.44	0	0.00	NA	NA	103673136
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Fund	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other ( Kuwait Investment Authority & Doozan Power Systems India Private Limited)	0	0	0	0	0	0.00	0	0	0	0.00	1183230214	16.39	0	0	0	0	0
	Foreign Bodies Corporat	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	FOREIGN NATIONALS	2	102000	0	0	102000	0.00	102000	0	102000	0.00	0	0.00	0	0.00	NA	NA	102000
	<b>Sub Total (B)(1)</b>	<b>115</b>	<b>1486992364</b>	<b>0</b>	<b>0</b>	<b>1486992364</b>	<b>24.64</b>	<b>1486992364</b>	<b>0</b>	<b>1486992364</b>	<b>24.64</b>	<b>1183230214</b>	<b>36.99</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>1486992364</b>
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-Institutions																	
(a)	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs	366926	307517583	0	0	307517583	5.09	307517583	0	307517583	5.09	0	4.26	0	0.00	NA	NA	306806077
	ii.Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	83	151717426	0	0	151717426	2.51	151717426	0	151717426	2.51	0	2.10	0	0.00	NA	NA	151717426
	JHUNJHUNWALA RAKESH RADHESHYAM	1	85000000	0	0	85000000	1.41	85000000	0	85000000	1.41	0	1.18	0	0.00	NA	NA	85000000
(b)	NBFCs Registered with RB	8	246301	0	0	246301	0.00	246301	0	246301	0.00	0	0.00	0	0.00	NA	NA	246301
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other	221	4489656	0	0	4489656	0.07	4489656	0	4489656	0.07	0	0.06	0	0.00	NA	NA	4489656
	CLEARING MEMBERS	1412	111266597	0	0	111266597	1.84	111266597	0	111266597	1.84	0	1.54	0	0.00	NA	NA	111266597
	NON RESIDENT INDIANS	2406	10459752	0	0	10459752	0.17	10459752	0	10459752	0.17	0	0.14	0	0.00	NA	NA	10459752
	NON RESIDENT INDIAN NON REPATRIABLE	1531	3890590	0	0	3890590	0.06	3890590	0	3890590	0.06	0	0.05	0	0.00	NA	NA	3890590
	TRUSTS	9	63703	0	0	63703	0.00	63703	0	63703	0.00	0	0.00	0	0.00	NA	NA	63703
	QUALIFIED INSTITUTIONAL BUYER	3	4832127	0	0	4832127	0.08	4832127	0	4832127	0.08	0	0.07	0	0.00	NA	NA	4832127
	<b>Sub Total (B)(3)</b>	<b>372599</b>	<b>594483735</b>	<b>0</b>	<b>0</b>	<b>594483735</b>	<b>9.85</b>	<b>594483735</b>	<b>0</b>	<b>594483735</b>	<b>9.85</b>	<b>0</b>	<b>8.23</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>593772229</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>	<b>372714</b>	<b>2081476099</b>	<b>0</b>	<b>0</b>	<b>2081476099</b>	<b>34.48</b>	<b>2081476099</b>	<b>0</b>	<b>2081476099</b>	<b>34.48</b>	<b>1183230214</b>	<b>45.22</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>2080764593</b>

Details of shareholders acting as person in Concert including their Shareholding (No. And % Details of Shares which remain unclaimed may be given here along with details such as number of Shareholders, Outstanding shares held in demat/unclaimed suspense account, Voting Rights which are forzer

No of Shares : 17924

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
(1)	Custodian/ DR Holder Name of DR Holder ( if available)	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a)	Employee Benefit Trust(under SEBI Share based Employee benefit Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

**Table V- Statement showing details of significant beneficial owners (SBOs)**

Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)			Date of creation / acquisition of significant beneficial interest# (IV)
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:			
							Shares	<input type="text"/>	%	
							Voting rights	<input type="text"/>	%	
							Rights on distributable dividend or any other distribution	<input type="text"/>	%	
							Exercise of control	<input type="text"/>		
							Exercise of significant influence	<input type="text"/>		
<p>None of the shareholders of the Company hold more than 10% shares/voting rights in the Company, except the holding company viz. 'GMR Enterprises Private Limited (GEPL) through other subsidiary companies, which holds 64.73% of the paid-up equity share capital of the Company. Accordingly, the provisions of the Companies (Significant Beneficial Owners) Rules, 2018 does not apply to the Company, except for intimation of Corporate Identification Number of GEPL in e-form BEN-2 with Ministry of Corporate Affairs. Thus, the declaration 'Whether the listed entity has any significant beneficial owner?' is marked as 'No'.</p>										

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows

for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.